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DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

| (| CONCERNING A FILIN | G UNDER 35 U.S.C. 371 | 10/552988 | | | | | | | | |
|---|---|---|------------------------|--|--|--|--|--|--|--|--|
| INTER | NATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | |
| PCT/E | P2004/002976 | March 22, 2004 | April 15, 2003 | | | | | | | | |
| TITLE | TITLE OF INVENTION | | | | | | | | | | |
| SURF | SURFACE-MODIFIED EFFECT PIGMENTS | | | | | | | | | | |
| APPLI | CANT(S) FOR DO/EO/US | | | | | | | | | | |
| | old RUEGER et al. | <u>:</u> | · | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. 🔀 | This is a FIRST submission of items | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | |
| 2. | This is a SECOND or SUBSEQUE | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| 3. | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. 🗵 | The US has been elected (Article 31). | | | | | | | | | | |
| 5. 🗵 | A copy of the International Application | A copy of the International Application as filed (35 U.S.C. '371(c)(2)) | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| | b. has been communicated by | the International Bureau. | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | |
| 6. 🛚 | An English language translation of the | he International Application as filed (35 U. | .S.C. 371(c)(2)). | | | | | | | | |
| | | | | | | | | | | | |
| | a. is attached hereto. | | | | | | | | | | |
| _ | _ | tted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. 🗵 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | | |
| | | ed only if not communicated by the Interna | ational Bureau). | | | | | | | | |
| | | — | | | | | | | | | |
| | | ver, the time limit for making such amendr | ments has NOT expired. | | | | | | | | |
| _ | d. A have not been made and w | | | | | | | | | | |
| 8 | _ | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. 🗀 | - 1 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. | English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | |
| | Items 11 to 20 below concern document(s) or information included: | | | | | | | | | | |
| 11. 🗵 | An Information Disclosure Statemen | An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. | | | | | | | | | |
| 12. 🗀 | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | | | | | | |
| 13. 🗵 | A preliminary amendment. | | | | | | | | | | |
| 14. 🗀 | An Application Data Sheet under 37 C.F.R. 1.76. | | | | | | | | | | |
| 15. [| A substitute specification. | | | | | | | | | | |
| 16. [| A power of attorney and/or change of address letter. | | | | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. | | | | | | | | | | |
| | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| _ | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| | | | | | | | | | | | |
| 18. [19. [20. [| A second copy of the published Inter A second copy of the English langua | mational Application under 35 U.S.C. 154 | (d)(4). | | | | | | | | |

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| U.S. APPLICAT | ION NO. (| ATTORNEY'S DOCKET NUMBER | | | | | | | | |
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| 21. | Basic | national | tee | • | \$300 | \$3000 | | | | |
| 22. | Exami | nation fe | ee | | | \$200 | | | | |
| If International | | | | | | | | | | |
| PCT Article 33 | | | | | | | | | | |
| All other situati | | 2500 | | | | | | | | |
| 23. Search fee \$500 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an | | | | | | | | | | |
| i ` | crk 1.445 | | | | | | | | | |
| l | national Se | | | | | | | | | |
| | ther situation | | | | | | | | | |
| 3 | | \$1000.00 | | | | | | | | |
| Total of 21, 22 and 23 = \$1000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding | | | | | | | | | | |
| sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | |
| Total Sheets Extra Sheets | | | | additional 50 or fraction the up to a whole number) | ereof RATE | | | | | |
| - 100 | /50 | = | (| | x \$250 | \$ | | | | |
| Surcharge of \$1 claimed priority | | \$ | | | | | | | | |
| CLAIM | S | NUN | MBER FILED | NUMBER EXTRA | RATE | | | | | |
| Total Cla | ims | | 11-20= | | x \$50 | \$ | | | | |
| Independent | Claims | | 1-3= | | X \$200 | \$ | | | | |
| MULTIPLE DI | EPENDEN | \$ | | | | | | | | |
| | | \$ | | | | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | | | | | | |
| | | \$ | | | | | | | | |
| Processing fee | | S | | | | | | | | |
| · | y date (57 | \$1000.00 | | | | | | | | |
| Fee for recording an appropriate | | \$ | | | | | | | | |
| | | | • | TOTAL | FEES ENCLOSED = | \$1000.00 | | | | |
| | | Amount to be refunded: | \$ | | | | | | | |
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| . a. , 🔯 | A che | ck in the | amount of \$1000 | .00 to cover the above fees is | s enclosed. | I | | | | |
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| с. 🛛 | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| | e an appro | opriate t | ime limit under 3 | 7 CFR 1.495 has not been in | | | | | | |
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| SEND ALL CO | ORRESPO | NDENC | E TO: | | <u> </u> | | | | | |
| | | | | | | | | | | |
| | | | | | Anthony J. Ze NAME | <u>lano</u> | | | | |
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| Date: Octobe | r 13 2004 | | | | KEGISTKATI | ON NUMBER | | | | |
| Date: Octobe | 1 13, 2003 | , | | | | | | | | |